

APPENDIX "C"

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE COUNTY OF WARREN FORM F-100
FOR 20____

1. General Information

Last Name Middle Initial First Name

Title

Department or Agency

County Address

County Telephone

Primary Residence

2. Marital Status. If married, please give full name of spouse:

Provide name and age for each child or stepchild to have, from oldest to youngest. Please list the address for any child or stepchild that does not reside primarily at your primary residence. If none, state "None" or "N/A".

<u>FULL NAME:</u>	<u>AGE:</u>	<u>ADDRESS (Town & State only):</u>
A. _____	_____	_____;
B. _____	_____	_____;
C. _____	_____	_____;
D. _____	_____	_____;
E. _____	_____	_____;
F. _____	_____	_____;
G. _____	_____	_____;
H. _____	_____	_____.

(Please list any additional children on separate paper)

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3. Provide name and age for any person who is not listed under #2 or #3 above and that resides with you at your primary residence. Please state your relationship to such person, such as "parent", "sibling," "significant other," "roommate" or other appropriate term. If none, state "None" or "N/A".

FULL NAME: AGE: RELATIONSHIP:

- A. _____;
- B. _____;
- C. _____;
- D. _____.

(Please list any additional persons on separate paper)

4. Does any Relative currently working for Warren County? If so, please provide the name(s) of the Relative, the name of the County department, the Relative's title. If none, state "None" or "N/A".

FULL NAME: AGE: RELATIONSHIP:

- A. _____;
- B. _____;
- C. _____;
- D. _____;
- E. _____.

(Please list any additional persons on separate paper)

5. Financial Interests.

A. Business Positions. State your name, your spouse's name, or the name of any Member of your Household that holds any office, trusteeship, directorship, partnership, or other position in any business, association, proprietary, or not-for-profit organization that conducts business or has a financial interest in the County or any City, Town or Village in Warren County. If none, state "None" or "N/A".

<u>Name</u>	<u>Position</u>	<u>Organization</u>	<u>County Department or Municipality & Nature of Involvement</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(Please provide any additional information concerning nature of relationship and involvement on separate paper, as needed)

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B. Outside Employment. State your name, your spouse's name, or the name of any Member of your Household that holds any outside occupation, employment, trade, business, or profession providing more than \$1,000 per year when the business entity also conducts business with the County of Warren or any Town, Village or City in Warren County. If none, state "None" or "N/A".

<u>Name</u>	<u>Position</u>	<u>Organization</u>	<u>County Department or Municipality & Nature of Involvement</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(Please provide any additional information concerning nature of relationship and involvement on separate paper, as needed)

C. Investments. Itemize and describe all investments in excess of \$5,000 or five percent (5%) of the value in any business, corporation, partnership, or other assets, including stocks, bonds, loans, pledged collateral, and other investments, for you, or your Relative, if such investment is with a business or other entity involved with the County or any Town, Village or City in Warren County. If none, state "None" or "N/A".

<u>Name/Owner</u>	<u>Business Name / Address of Business</u>	<u>Description of Investment</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

(Please provide any additional information concerning nature of relationship and involvement on separate paper, as needed)

D. Real Property Other Than Primary Residence. List the address of all real estate in Warren County, excluding your primary residence, which you, your spouse, children, or stepchildren, have an interest, regardless of its value. If none, state "None" or "N/A".

- (1) _____;
- (2) _____;
- (3) _____.

(Please list any additional properties on separate paper, as needed)

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- E. Other Income. Identify the source and nature of any other income in excess of \$1,000/year from any source not described above, for you, your spouse, or a Member of your Household, if the source of income is a person or business entity that conducts business with the County of Warren or any Town, Village or City in Warren County.

<u>Name</u>	<u>Name / Address of Income Source</u>	<u>Nature of Income</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

(Please provide any additional information concerning nature of relationship and involvement on separate paper, as needed)

6. Interest in Contracts

State any Interest that you, your spouse, children, stepchildren, or a Member of your Household has in any contract involving the County of Warren or a Town, Village or City in Warren County.

<u>Name of Person with Interest</u>	<u>Contract Description & County Department or Municipality Involved</u>
_____	_____
_____	_____

(Please provide any additional information concerning contracts on separate paper, as needed)

7. Debts. Describe all debts you, your Spouse, or a Member of your Household owe to the County of Warren or any Municipality in Warren County, that is greater than \$5,000. For example, any unpaid school taxes, property taxes, occupancy tax payments, or other local tax liens or assessments as of the date of filing this financial disclosure statement.

<u>Name of Debtor</u>	<u>Name of Creditor</u>
_____	_____
_____	_____
_____	_____
_____	_____

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8. Third-Party Reimbursements. Identify and describe the source of any third-party reimbursement for travel-related expenditures of any matter that relates to your official duties. The term “reimbursement” includes any travel-related expenses provided by anyone other than the County of Warren for speaking engagements, conferences, or fact-finding events that relate to your official duties received by you, your Spouse or a Member of your Household.

<u>Source</u>	<u>Description and Amount</u>

9. Gifts and Honorariums.

- (a) Has anyone attempted to influence your performance of your official duties by giving you gifts during the twelve months prior to you submitting this financial disclosure statement, to include the total value of gifts given to you, your Spouse or a Member of your Household? The term “gift” means anything of value, whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or anything of value in any other form. The value of a gift is the gift’s fair market value, determined by the retail cost of the item or the fair market value of a comparable item. The fair market value of a ticket entitling the holder to food, refreshments, entertainment, or any other benefit is the face value of the ticket, or the actual cost to the donor, whichever is greater. If none, state “None.” If yes, indicate:

<u>Source</u>	<u>Description of Gift</u>

10. Miscellaneous Provisions.

- A. The filer shall provide the Board of Ethics with additional information, upon request.
- B. The reporting requirement of this statement is required by New York State Law and the law of the County of Warren.
- C. This statement is subject to public disclosure under the New York State Freedom of Information Law (FOIL).

CERTIFICATION:

By my signature below, I acknowledge, understand and agree that any person who knowingly and willfully with intent to deceive makes a false statement or gives information which such individual knows to be false on such statement of financial disclosure filed pursuant to the Warren County Code of Ethics and the Warren County Board of Supervisors may refer a violation to the appropriate prosecutor and such violation shall be punishable as a class A misdemeanor. Notwithstanding any other provision of law to the contrary, no other penalty, civil or criminal may be imposed for a failure to file, or for a false filing, of such statement, except that the appointing authority may impose disciplinary action as otherwise provided by law.

Signature of Reporting Individual

Date